



The Directors Roundtable & the Fink Center for Finance & Investments
Invite You to Attend a Program
For Boards of Directors and Their Advisors

LAURENCE & LORI
FINK CENTER

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THINK IN THE NEXT

A DIALOGUE WITH NOBEL LAUREATE HARRY MARKOWITZ:
OPPORTUNITIES & CHALLENGES IN APPLYING
FINANCIAL TECHNIQUES DURING AN INVESTMENT CRISIS

Wednesday, September 25, 2013, 6:00 – 8:00 P.M.

UCLA Anderson School of Management, Korn Convocation Hall

5:00 P.M. Registration in the nearby Executive Dining Room of Gold Hall

(See links for directions to the [Korn Convocation Hall](#) off Sunset Blvd. & map for [Parking Lot 4](#))

There is no fee to attend. There will be a light stand-up dinner and networking before the event.

The Directors Roundtable is conducting a worldwide series of events with Nobel Laureates in Economics. At this program, Laureate Harry Markowitz will make the Keynote address on the application of financial techniques during an economic and investment crisis. Professor Markowitz will be joined in a Roundtable discussion with Distinguished Panelists from Merrill Lynch, the Anderson School, and Latham & Watkins. They will provide valuable insights for Directors, C-Suite executives, and investors combining theory and practice for more successful results in challenging markets. The topics include stocks, bonds & derivatives; modern portfolio theory; mark-to-market; Black-Scholes; real estate; M&A; bankruptcy claims; and litigation.

Appreciation is given to the Lawrence & Lori Fink Center for Finance & Investments for its sponsorship of this event. An Executive Summary of these proceedings will be made available electronically to 500,000 leaders nationally and globally. The Executive Summary of another Laureate program in this series at the Harvard Business School is available [here](#). The Directors Roundtable is a civic group which organizes the preeminent worldwide programming for Directors and their advisors. To contact Directors Roundtable call Karen Todd at (727) 493-2067 or e-mail karen.f.todd@gmail.com.

KEYNOTE SPEAKER:

Prof. Harry Markowitz Nobel Laureate in Economics;
Principal, Harry Markowitz Company

DISTINGUISHED PANELISTS:

Prof. Richard Roll Joel Fried Chair in Applied Finance,
UCLA Anderson School of Management

Anil Suri CIO of Multi-Asset Class Modeled Solutions &
Head of Investment Analytics, Merrill Lynch Wealth Management

Peter Gilhuly Partner, Latham & Watkins LLP;
Manager West Coast Insolvency Practice

David Mordecai, Ph.D. President, Risk Economics, Inc.; Senior Advisor, Compass Lexecon

Jack Friedman Chairman, Directors Roundtable; Author of articles in *The Wall Street Journal* and *Barron's* on Real Estate and Legally Troubled Assets

REGISTRATION Contact Ani Adzhemyan: 310-825-3867; ani.adzhemyan@anderson.ucla.edu for any event questions.



The Directors Roundtable and the
Chapman University Economic Science Institute
Invite You to Attend a Program



**A DIALOGUE WITH NOBEL LAUREATE VERNON SMITH:
THE IMPACT OF BOTH CURRENT GOVERNMENT ECONOMIC POLICIES &
THE HOUSING BUBBLE ON CORPORATE BOARD STRATEGIES**

Tuesday, September 23, 2014, 9:00 – 11:00 A.M. (8:30 A.M. Registration)

**Lyon Conference Center, Argyros Forum 209 A/B
1 University Drive, Orange, CA 92866**

**Free and open to the public. Seating is limited; pre-registration is required.
Continental breakfast will be served. Visitor Parking is \$3.**

The Directors Roundtable is conducting a worldwide series of events with Nobel Laureates in Economics. At this program, Laureate Vernon Smith will make the Keynote Address on how current government economic policies impact strategies for Boards of Directors; and on what businesses and investors can learn from the recent housing bubble. The Distinguished Panelists will provide valuable insights for Directors, C-Suite executives, and investors combining theory and practice for more successful results in challenging markets. Their topics include real estate; investment strategies; and litigation.

Appreciation is given to the Chapman University Economic Science Institute for its cooperation in this event. A full-color transcript of these proceedings will be made available electronically to 500,000 leaders nationally and globally. The Directors Roundtable is a civic group which organizes the preeminent worldwide programming for Directors and their advisors.

KEYNOTE SPEAKER:

Vernon L. Smith. Ph.D.	Nobel Laureate in Economic Science, George L. Argyros Chair in Finance and Economics, Professor of Economics and Law, Chapman University
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DISTINGUISHED PANELISTS:

Niladri "Neel" Mukherjee	Director – Office of the CIO, Merrill Lynch Wealth Management, Investment Management & Guidance
Dr. Steven Gjerstad	Presidential Fellow at Chapman University; Co-Author with Prof. Smith of <u>Rethinking Housing Bubbles</u>
Dana Kopper	Managing Director, Lockton Companies, LLC, Directors & Officers' Liability and Governance Risk Management Group
Jack Friedman	Chairman, Directors Roundtable; Author of articles in <i>The Wall Street Journal</i> and <i>Barron's</i> on Bonds, Limited Partnerships, and Legally Troubled Assets

REGISTRATION: To register, log on to our Website www.directorsroundtable.com and click on "Current Events and Registration". To contact us, call Karen Todd at (727) 493-2067 or e-mail to Jack Friedman, Chairman of the Directors Roundtable, at karen.f.todd@gmail.com.



The Directors Roundtable Invites You to Attend a Program
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**A DIALOGUE WITH NOBEL LAUREATE ERIC MASKIN:
SUCCESSFUL NEGOTIATING AND FINANCIAL TECHNIQUES
FOR DEALMAKING, INVESTMENT & LAW**

Thursday, October 9, 2014, 8:30 – 10:30 A.M. (8:00 A.M. Registration)
Shearman & Sterling Conference Center, 599 Lexington Ave./E. 53rd, New York

There is no fee to attend and a continental breakfast will be served.
One hour of New York CLE credit will be provided*.

The Directors Roundtable is conducting a worldwide series of events with Nobel Laureates in Economics. At this program, Laureate Eric Maskin of Harvard will make the Keynote Address on the application of economic techniques, including game theory, to issues like privatization of public assets and the provision of public goods and services. Professor Maskin will be joined in a Roundtable discussion with Distinguished Panelists from Merrill Lynch, Shearman & Sterling LLP, and the Directors Roundtable. They will provide valuable insights for Directors, C-Suite executives, and investors combining theory and practice for more successful results in challenging markets. The topics include investing strategies and negotiating M&A deals; contracts; legal settlements; wireless spectrum auctions; pricing; single buyers versus competitive bidding; and others.

A full-color transcript of these proceedings will be made available electronically to 500,000 leaders nationally and globally. The Executive Summary of another Laureate program in this series at the Harvard Business School is available [here](#). The Directors Roundtable is a civic group which organizes the preeminent worldwide programming for Directors and their advisors.

KEYNOTE SPEAKER:

Prof. Eric Maskin	Professor of Economics, Nobel Laureate in Economics, Harvard University
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DISTINGUISHED PANELISTS:

Ashvin Chhabra	Chief Investment Officer and Head of Investment Management and Guidance, Merrill Lynch Private Banking & Investment Group
John A. Marzulli, Jr.	Partner and member of Shearman & Sterling LLP's Mergers & Acquisitions Group
Jack Friedman (Moderator)	Chairman, Directors Roundtable; Author of articles in <i>The Wall Street Journal</i> and <i>Barron's</i> on Bonds, Limited Partnerships, and Legally Troubled Assets

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**This program has been approved for newly-admitted and experienced attorneys and attendees will receive approximately 1.0 New York Professional Practice credit and 1.0 California General credit.*