

Preeminent Worldwide Programming for Directors and Their Advisors



Join Directors, their Advisors & other Business Leaders at this Directors Roundtable Institute Event

DISTINGUISHED SPEAKERS



Paul Levenson
Regional Director
(Boston), U.S. Securities and
Exchange Commission



Tony Jordan Partner, EY



R. Todd Cronan
Partner,
Goodwin Procter LLP



Jennifer Chunias
Partner,
Goodwin Procter LLP

KEY ISSUES FACING BOARDS OF DIRECTORS: NEW SEC ENFORCEMENT INITIATIVES AND CORPORATE GOVERNANCE RISKS

FREE REGISTRATION

Wednesday, March 29, 2017, 8:30 – 10:30 A.M. (8:00 Registration)

The Downtown Harvard Club, One Federal Street, Boston

There is <u>no fee</u> to attend and continental breakfast will be served.

The SEC is posing significant regulatory and enforcement challenges that Directors must address. The Distinguished Speakers will discuss the SEC's views on topics including:

- Internal corporate investigations;
- Whistleblowers; Insider trading
- The role of the audit committee,
- Disclosures to regulators; and
- Protecting against personal liability of Directors & Officers.

The Distinguished Speakers will also analyze best practices and proactive steps that Boards should take to address these key issues. Rick Williams, Partner, Newport Board Group, Inc. will make Introductory Remarks

The Directors Roundtable Institute is a 501(c)(3) not-forprofit which organizes worldwide programming for Directors and their advisors. Join us on social media for the latest news for Directors on corporate governance and other important VIP issues.



REGISTRATION:

You can also register by using this link http://www.directorsroundtable.com/id=1201. To contact us, call Karen Todd at (727) 493-2067 or email to Jack Friedman, Chairman of the Directors Roundtable, at karen.f.todd@gmail.com.